Form PTO-1390 U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NUMBER PATENT AND TRADEMARK OFFICE US 17024 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP00/#02196 March 13, 2000 April 2, 1999 TITLE OF INVENTION COUPLING METAL PARTS WITH A PLASTIC MATERIAL November 21, 2000 APPLICANT(S) FOR DO/EO/US Basell Technology Company BV Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- I This a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. praf X1 This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). ١Ū
- 4. 📜] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. XX 1 A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [X] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- [] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C 371(c)(3))
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 b. [] have been transmitted by the International Bureau.
 c. [] have not been made; however, the time limit for making such amendments has NOT expired.

 - d. [X] have not been made and will not be made.
- 8. 1 A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
 - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [] A substitute specification.

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- 15. [] A change of power of attorney and/or address letter.
- 16. [X] Other items or information:

Preliminary Amendment

Receipt acknowledgment card Express Mailing Certificate

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U.S. Application No. 70088 International Application No. PCT/EP00/402196				Attorney's Dock US 17024	_	
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c. [X] The	Commissioner is hereby	authorized to charge any	additional fees which may but copies of this sheet are	e required, or cred enclosed.	ît	
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or (b)) must be filed and granted to restore the application to pending status.						
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November 21, 2000					<u> </u>	

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US 17024

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of)
Gian Luigi Rigosi et al.)
Serial No. Not yet assigned)
Filed November 21, 2000)
PCT International Appln PCT/EP00/01216)
Filed March 13, 2000)
For COUPLING METAL PARTS WITH A PLASTIC MATERIAL)
Box PCT Assistant Commissioner of Patents Washington, D.C. 20231	
Sir:	

PRELIMINARY AMENDMENT

Please amend this application without prejudice as follows:

In the Claims

In claim 2, line 1, after "claim 1", please insert --further--.

In claim 3, line 1, please delete "or 2" and before "comprising" insert --further--.

In claim 4, please delete "claims 1-3" and substitute therefor --claim 1-- and after "wherein" delete --a--.

In claim 5, please delete "claims 1-3" and substitute therefor --claim 1--.

then the state of the state state of the sta M m į. TŲ. Please add the following claims:

6. (New) The process of claim 2 further comprising the step of applying an organic or inorganic primer to the metal surface before applying a powder of an adhesive polymer

composition to the metal surface.

7. (New) The process of claim 2 wherein pressure is applied during step (c).

8. (New) The process of claim 3 wherein pressure is applied during step (c).

9. (New) The process of claim 6 wherein pressure is applied during step (c).

10. (New) Articles obtainable by the process according to claim 2.

11. (New) Articles obtainable by the process according to claim 3.

12. (New) Articles obtainable by the process according to claim 6.

An early and favorable action on the merits is solicited earnestly.

Should the Examiner have questions or comments regarding this application or this amendment, Applicants' attorney would welcome the opportunity to discuss the case with the Examiner.

Respectfully submitted,

GIAN LUIGI RIGOSI ET AL.

Largaret SMMlilin Registration No. 38,969

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November 21, 2000

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WO 00/59990

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COUPLING METAL PARTS WITH A PLASTIC MATERIAL

The present invention relates to a process for coupling the surface of metal parts with plastic materials. In particular, it relates to a process for coating metal parts with plastic materials by injection moulding and to articles obtainable from the said process.

Number articles employed in a lot of fields present a coupling between a plastic material and the surface of a metal part. The process of the present invention is suitable for preparing the said articles. The reasons for making the said coupling are various. For example, the coating of metal parts is sometimes necessary to prevent the metal surface from coming in contact with foods or being exposed to chemical substances during use. Examples of the articles obtainable from the process of the present invention are, therefore, closures. Bottle closures of a plastic material are joined to a metallic material, aluminium for example, in order to prevent the oxygen diffusion in bottles.

In industry it is also necessary to connect different parts, such as metal valves, metal fittings or metal pipes with pipes or fittings of a plastic material.

Methods for joining metal surfaces to plastic materials are already known. In particular a method for coupling a metal part with plastic materials by a injection moulding process is known. International patent application WO 96/14533 describes such a process. According to the described invention, the method involves the steps of applying an organic or inorganic primer to the metallic pipe or pipe fitting, then applying heat to the metallic pipe end or fitting and, subsequently, hot dipping the metallic pipe or pipe fitting into a fluidised bed of plastic material. The subsequent and last step is that of overmoulding the metallic pipe or pipe fitting with a plastic material by an injection moulding process.

The problem with the method disclosed in said patent application is that the adhesion strength between the metallic pipe and the plastic material is not high.

It has now been found a process that improves the adhesion strength between the metallic surface and the plastic material.

In addition, thanks to the process of the present invention a higher adhesion strength is obtained without damaging the coating of plastic material. In fact the chemical degradation of the polymer does not occur or is reduced to the minimum.

Moreover, the present process does not even cause the loss of the shape of the overmoulded plastic material due to the action of heat.

Accordingly, the present invention provides a process for effecting a coupling between a plastic material and the surface of a metal part (metal surface) comprising the steps of:

- a) applying an adhesive polymer composition on the metal surface;
- b) overmoulding the metal surface with a plastic material by injection moulding; and
- c) applying heat to the metal surface.

The said metal surface can be the overall surface of the metal part of a portion of it, depending upon the kind of coupled article to be produced.

The part to be coupled with a plastic material can be of any type of metal or metal alloy. Examples of suitable metals and metal alloys are iron, aluminium, copper, steel and brass.

The part to be subjected to the process of the present invention may optionally be plated or treated with surface treatments generally employed in the art. Hence, a chromium or zinc plated part is suitable as well, for instance.

The adhesive polymer composition used in step (a) is preferably compatible with the polymer used for overmoulding the metal surface in step (b). The adhesive polymer could be the same polymer as the polymer used for the overmoulding, for example.

The adhesive polymer composition preferably comprises a polymer modified by grafting it with modifying agents containing functional groups. All the said adhesive polymer compositions available in the art are suitable. The modification of the polymer is obtained according to known processes, by mixing the polymer and modifying agent (such as maleic anhydride or isophorone bismaleamic acid or acrylic acid) either in the molten state or in solution, preferably in the presence of radical initiators such as organic peroxides. It is obtained a partial or total grafting of the modifying agent in quantities ranging from 0.5 to 10%.

Examples of suitable modified polymers are as follows (all the percentages being by weight):

- I) random copolymers of propylene with 0.5-10% of a CH₂=CHR olefin, wherein R is selected from H and a linear or branched C₂-C₄ alkyl radical, modified with the said polar groups in quantities from 0.002 to 10%;
- II) polyolefin compositions comprising by weight:

a) 30-94%, preferably 54-85%, of polypropylene or polyethylene (HDPE, LDPE or LLDPE) or a propylene/ethylene or propylene/ethylene/ C_4 - C_{10} α -olefin crystalline random copolymer;

- b) 0-70%, preferably 5-40%, of an ethylene/propylene or ethylene/1-butene elastomeric copolymer; and
- c) 0.5-10%, preferably 2-6%, of polypropylene or polyethylene (HDPE, LDPE or LLDPE) modified with the said polar groups in quantities from 0.5 to 10%.

Preferably the adhesive polymer composition also contains from 0.1 to 0.5% by weight of a nucleating agent, such as dibenzylidenesorbitol or tale, and from 0.1 to 10% by weight of titanium dioxide.

Preferably, the above-mentioned α -olefin is selected from 1-butene, 1-pentene, 1-hexene, 4-methyl-1-pentene and 1-octene. Particularly preferred is 1-butene.

Polymer compositions (II) may be prepared blending the components or by sequential polymerisation of the monomers in the presence of stereospecific Ziegler-Natta catalysts supported on magnesium dihalides in active form as described in EP-A-483523, for example.

The method for applying the adhesive polymer composition to the metal surface of the part is not critical. For example, the adhesive polymer composition may be applied by injection moulding or dipping the part into a fluidised bed of polymer powder. Other suitable methods for applying the adhesive polymer in form of powder are by flame spraying or spraying on pre-heated parts or using spray guns equipped with a system for the electrostatic charge of the powders. Alternatively, the extrusion process can be used when the shape of the part is simple. Depending upon the method, the metal surface is heated before or after applying the adhesive polymer composition.

The plastic material that is overmoulded over the metal surface may include thermoplastics such as polyolefins, e.g. homo- or copolymers of ethylene, propylene and 1-butene and polyamides. An example of said polyolefins is an heterophasic polymer composition comprising (percentage by weight):

30-90% of a crystalline propylene homopolymer with an insoluble fraction in xylene at ambient temperature greater than 90, or a crystalline random copolymer of propylene with ethylene and/or a CH_2 =CHR olefin where R is a C_2 - C_6 alkyl radical,

containing more than 85% of propylene and having an insoluble fraction greater than 85%;

- 0-40% of a polymer fraction containing ethylene, insoluble in xylene at ambient temperature (i.e. about 23°C); and
- 10-60% of an amorphous ethylene/propylene copolymer, optionally containing minor amounts of a diene, soluble in xylene at ambient temperature and containing 10-70% of ethylene.

Examples of above heterophasic polymer compositions are described in published European patent applications Nos. 400333 and 472946.

The plastic material can include other components commonly used in the art, such as filler and pigments.

In step (c) heat is applied to the metal surface by induction, for example. A way of applying induction heat is by induction furnace.

The metal surface is heated up to a temperature that causes the softening or melting of the overmoulded plastic material in contact with the metal. Consequently, the temperature depends on the type of used plastic material. For example, when the plastic material is a propylene polymer, the metal surface can be heated at a temperature of 180-220°C.

In order to define how much energy is necessary to heat the coated metal surface at the desired temperature, a way could be to determine it on a metal surface that is not coated.

The process of the present invention optionally comprises the step of applying an organic or inorganic primer to the metal surface before the step of applying an adhesive polymer composition to the metal surface. Examples of suitable primers are epoxy resins and chromates.

When heat is applied during step (c), a pressure is optionally applied to the part and/or to the overmoulded plastic material to increase the adhesion between the metal surface and the plastic material. The pressure is applied by rolling or using moulds, for instance. The type of part and of used polymers affect the values of pressure to be applied. The applied pressure can be selected in the range from 0.01.to 5 MPa. When the pressure is applied by using a mould, the pressure is preferably maintained for 5 seconds or less.

The following examples are given to illustrate but not limit the present invention.

The data relating to the compositions and samples of the examples are determined by

way of the methods indicated below:

- Melt Index (MIL): ASTM D 1238, condition L

- Solubility in xylene: (see note below)

- Intrinsic viscosity: determined in tetrahydronaphthalene at 135°C

- Peeling test: NF A 49 711 at room temperature.

Note

Determination of percentage soluble in xylene: one prepares a solution of the sample at a concentration of 1% by weight, maintaining the temperature at 135°C, and stirring the solution for one hour and then cooling the solution to room temperature. The solution is then filtered and acetone is added to a portion of the filtrate in order to obtain the precipitation of the polymer dissolved. The polymer is then recovered, washed, dried, and weighed in order to determine the percentage soluble in xylene.

Polymers used in the examples

- Adhesive polymer composition comprising (percentage by weight):
 - a) 74% of a crystalline poly(propylene-co-butene-1-co-ethylene), containing about 8% and 2% of recurring units derived from butene-1 and ethylene respectively, containing a minor fraction soluble in xylene at room temperature;
 - b) 20% of a random copolymer of propylene with 15% of recurring units derived from ethylene, having a soluble fraction in xylene at 23°C of 50%;
 - c) 5% of a polypropylene grafted with 1.5% maleic anhydride; and
 - d) 1% of TiO₂.

The polymers are obtained by polymerizing the olefin monomers in the presence of a high yield Ziegler-Natta catalyst.

The polymer composition is stabilised against thermal and UV ageing using known additives and visbroken with peroxides up to an MIL of 140 dg/min. Its average particle size is 250µm obtained by way of cryogenic grinding.

- Heterophasic polymer composition having an MIL of 3.5 dg/min and consisting of the following polymers (percentage by weight):
 - 79 % of a crystalline propylene homopolymer containing 2.5% of a fraction soluble in xylene at 23°C; and
 - 21% of a random elastomeric copolymer consisting of 87% of recurring units derived

from propylene and 13% from ethylene.

The fraction soluble in xylene at 23°C of the said heterophasic composition has an intrinsic viscosity value of 3 dl/g.

The said composition is obtained by way of sequential polymerisation by using a high yield and highly stereospecific Ziegler-Natta catalyst, supported on magnesium chloride.

Comparative Example 1c

A sandblasted plate of carbon steel having dimensions of 124×124×1.5 mm is coupled with a plastic material according to the following process.

Step (a): The plate is heated in a oven at 250°C and then dipped into a fluidised bed (5 seconds) containing a powder of the adhesive polymer composition. The plate thus coated is allowed to cool in the open air. The coating is 300-400µm thick. The adhesion of the plastic coating to the metal is classified 5B according to the ASTM D 3359 method.

Step (b): The plate thus coated is introduced into an injection moulding apparatus. The hollow of the mould has dimensions $126 \text{ mm} \times 126 \text{ mm}$ and 4 mm thick.

A layer of the said heterophasic polymer composition is overmoulded over the plate coated with the modified polymer by injecting the heterophasic composition in the mould at a temperature of 260°C. The thus obtained layer is 2-2.2 mm thick.

The peel strength of the plastic material to the carbon steel sheet is 0-2 N/mm.

Example 1

The plate coming from step (b) of Comparative Example 1c is subjected to the following step.

Step (c): The plate coupled with the plastic material is heated to a temperature of 180-220°C by use of an induction furnace and subjected to a rolling at the same time. The applied pressure is about 0.1 MPa.

The peel strength of the plastic material to the carbon steel plate at room temperature is 6.5-7 N/mm.

CLAIMS

- 1. A process for effecting a coupling between a plastic material and a metal surface comprising the steps of:
 - a) applying a powder of a adhesive polymer composition to the metal surface;
 - b) overmoulding the metal surface with a plastic material by injection moulding; and
 - c) applying heat to the metal surface.
- 2. The process of claim 1 comprising the step of heating the metal surface before or after applying the adhesive polymer composition.
- 3. The process of claim 1 or 2 comprising the step of applying an organic or inorganic primer to the metal surface before applying a powder of an adhesive polymer composition to the metal surface.
- 4. The process of claims 1-3 wherein a pressure is applied during step (c).
- 5. Articles obtainable by the process according to claims 1-3.

TC 5404/PVA 525 Rec'd PCT/PTO 21NOV 2000

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inventor on the in-	(if plural names are vention entitled:	e listed below) of the subject matter	name is listed below) or an originate which is claimed and for which a				
Coupl	ing metal p	arts with a plastic	material.				
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Combined Declaration For Patent Application and Power of Attorney (Continued)	ATTONNEY'S DOCKET NUMBER		
(Includes Reference to PCT International Applications)	US 17024		

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose

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و	POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number) Margaret S. Millikin, Reg. No. 38,969 Joanne W. Patterson, Reg. No. 31,217								
Send Correspondence to: Margaret S. Millikin Basell North America Inc. Direct Telephone Calls to: Iname and telephone number)									
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	FULL NAME OF INVENTOR	RIGOSI			Luigi		SECOND GIVEN NAME		
201	RESIDENCE & CITY Ferrara				taly		Italy //		
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.									
DATE	The d	hopithe for.	200	o Co 1	Jobsoft	DATE			

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